

01/04/02  
jc812 U.S. PTO

01-07-02

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box →

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 38-21(52175)B

First Inventor or Application Identifier Vergel C. Concibido

Title See 1 in Addendum

Express Mail Label No. EL 733950696 US

01/04/02  
jc812

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 69]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets  ]
4. Oath or Declaration [Total Pages 3]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \* Small Entity Statement(s)  Statement filed in prior application  
(PTO/SB/09-12)  Status still proper and desired
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: .....  
.....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	27161	or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)
Name		
Address		
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	E. Clifford Lawson	Registration No. (Attorney/Agent)	46,860
Signature	<i>E. Clifford Lawson</i>	Date	Jan 02, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Attachment to PTO/SB/05 (4/98) Utility Patent Application  
Transmittal

## 1. Soybean Plants with Enhanced Yields and Methods for Breeding for and Screening of Soybean Plants with Enhanced Yields

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## Addendum

## Attachment 1

## Maryland Heights

the first time in the history of the world, the *whole* of the human race, in all its parts, has been gathered together, and is now to be seen in one place.

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# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1238.00)

## Complete if Known

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Verquel C. Concibido
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	38-21(52175)B

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to  
 Deposit Account Number **13-4125**  
 Deposit Account Name   
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status See 37 CFR 1.27

2.  Payment Enclosed:  
 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	<b>740.00</b>
106	320	206	160	Design filing fee	<b> </b>
107	490	207	245	Plant filing fee	<b> </b>
108	710	208	355	Reissue filing fee	<b> </b>
114	150	214	75	Provisional filing fee	<b> </b>
<b>SUBTOTAL (1)</b>				<b>(\$ 740.00)</b>	

## 2. EXTRA CLAIM FEES

Total Claims	29	-20** =	9	X	18	=	162.00	Fee from below	Fee Paid
Independent Claims	7	- 3** =	4	X	84	=	336.00		
Multiple Dependent									

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>				<b>(\$ 498.00)</b>

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	<b> </b>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<b> </b>
139	130	139	130	Non-English specification	<b> </b>
147	2,520	147	2,520	For filing a request for ex parte reexamination	<b> </b>
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<b> </b>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<b> </b>
115	110	215	55	Extension for reply within first month	<b> </b>
116	390	216	195	Extension for reply within second month	<b> </b>
117	890	217	445	Extension for reply within third month	<b> </b>
118	1,390	218	695	Extension for reply within fourth month	<b> </b>
128	1,890	228	945	Extension for reply within fifth month	<b> </b>
119	310	219	155	Notice of Appeal	<b> </b>
120	310	220	155	Filing a brief in support of an appeal	<b> </b>
121	270	221	135	Request for oral hearing	<b> </b>
138	1,510	138	1,510	Petition to institute a public use proceeding	<b> </b>
140	110	240	55	Petition to revive - unavoidable	<b> </b>
141	1,240	241	620	Petition to revive - unintentional	<b> </b>
142	1,240	242	620	Utility issue fee (or reissue)	<b> </b>
143	440	243	220	Design issue fee	<b> </b>
144	600	244	300	Plant issue fee	<b> </b>
122	130	122	130	Petitions to the Commissioner	<b> </b>
123	130	123	130	Petitions related to provisional applications	<b> </b>
126	180	126	180	Submission of Information Disclosure Stmt	<b> </b>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<b> </b>
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	<b> </b>
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	<b> </b>
179	710	279	355	Request for Continued Examination (RCE)	<b> </b>
169	900	169	900	Request for expedited examination of a design application	<b> </b>
Other fee (specify) _____					<b> </b>
*Reduced by Basic Filing Fee Paid					<b> </b>
					<b>SUBTOTAL (3) (\$ )</b>

Complete (if applicable)

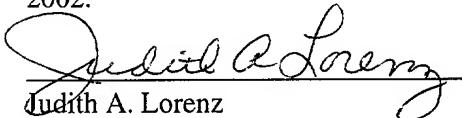
SUBMITTED BY				
Name (Print/Type)	E. Clifford Lawson	Registration No. (Attorney/Agent)	46,860	Telephone 636-737-6826
Signature	<i>E. Clifford Lawson</i>		Date 1/4/2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as Post Office to Addressee Express Mail # EL 833950696 US in an envelope addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on January 4, 2002.

  
Judith A. Lorenz

In re application of: )  
Vergel C. Concibido and Xavier Delannay )  
Serial No.: Unknown )  
Filed: Herewith )  
For: SOYBEAN PLANTS WITH ENHANCED )  
YIELDS AND METHODS FOR BREEDING FOR )  
AND SCREENING OF SOYBEAN PLANTS WITH )  
ENHANCED YIELDS )

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith are the following documents in the above-identified application.

- Utility Patent Application Transmittal
- Fee Transmittal
- Specification and Claims
- Declaration
- Paper Sequence Listing, Computer Readable Diskette and Statement
- No additional fee is believed to be required;
- Please charge any deficiencies or credit any overpayment to Deposit Account 13-4125. A duplicate copy of this sheet is attached.

Respectfully submitted,

  
E. Clifford Lawson  
Reg. No. 46,860  
Patent Agent  
800 North Lindbergh Boulevard  
Chesterfield, Missouri 63167  
(636) 737-6826

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE UNDER 37 C.F.R. §1.110**

I hereby certify that the documents enclosed herein are being deposited with the United States Postal Service on this 4th day of January, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 733950696 US addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Judith A. Lorenz  
Judith A. Lorenz

In re application of: Concibido and Delannay )  
 ) Examiner: Unknown  
Serial No.: )  
 ) Group Art Unit: Unknown  
Filed: Herewith )  
 )  
For: SOYBEAN PLANTS WITH ENHANCED )  
YIELDS AND METHODS FOR BREEDING FOR )  
AND SCREENING OF SOYBEAN PLANTS )  
WITH ENHANCED YIELDS )

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

## STATEMENT REGARDING SEQUENCE SUBMISSION

In accordance with 37 C.F.R. §1.821(f), the sequence listing information recorded in computer readable form (CRF) submitted herewith in the above-mentioned application is identical to the written on paper sequence listing.

Respectfully submitted,

E. Clifford Lawson  
E. Clifford Lawson  
Reg. No. 46,860  
Patent Agent  
800 North Lindbergh Boulevard  
St. Louis, Missouri 63167  
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